

## INSTITUTIONAL TRADE PROCESSING REPORT CARD

### INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

September 2014 (USD Conversion Rate 1.084749998)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	659,783	81.39	174,138,521,604.65	72.86	390,247	55.57	96,146,453,526.24	48.20	59.15
T+1 AM	108,562	94.78	42,296,499,000.88	90.56	240,430	89.81	74,868,449,437.21	85.74	82.08
T+1 PM	16,315	96.79	5,864,884,109.74	93.01	31,183	94.25	6,605,994,457.28	89.05	84.35
T+2 AM	7,260	97.69	3,988,674,194.13	94.68	13,752	96.21	5,880,103,632.87	92.00	85.31
T+2 PM	4,132	98.20	2,037,435,152.40	95.53	6,674	97.16	2,630,666,765.39	93.32	85.71
T+3	8,703	99.27	6,561,824,912.76	98.28	14,095	99.17	9,479,729,888.55	98.07	86.53
>T+3	5,918	100.00	4,128,170,869.55	100.00	5,909	100.00	3,850,423,634.92	100.00	86.63
<b>TOTAL</b>	<b>810,673</b>		<b>239,016,009,844.11</b>		<b>702,290</b>		<b>199,461,821,342.46</b>		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	134,868	80.31	1,491,340,077,872.86	82.25	93,506	62.52	867,649,097,058.50	56.04	69.33
T+1 AM	20,325	92.41	168,239,720,184.25	91.53	36,714	87.07	414,996,194,475.14	82.84	83.91
T+1 PM	4,026	94.81	45,656,674,407.38	94.05	6,494	91.41	74,725,850,282.20	87.67	85.87
T+2 AM	2,291	96.17	33,062,939,778.16	95.87	3,762	93.93	67,252,315,180.10	92.01	86.98
T+2 PM	1,484	97.05	14,323,788,323.48	96.66	1,950	95.23	22,643,869,845.25	93.47	87.38
T+3	3,380	99.06	33,270,472,322.48	98.49	4,880	98.49	60,765,791,207.19	97.39	88.54
>T+3	1,568	100.00	27,232,918,230.18	100.00	2,250	100.00	40,236,001,859.01	100.00	89.05
<b>TOTAL</b>	<b>167,942</b>		<b>1,813,126,591,118.79</b>		<b>149,556</b>		<b>1,548,269,119,907.39</b>		

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September 2014 (USD Conversion Rate 1.084749998)

	OVERALL ENTERED				OVERALL CONFIRMED				
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	
T	794,651	81.20	1,665,478,599,477.51	81.16	483,753	56.79	963,795,550,584.74	55.15	
T+1 AM	128,887	94.37	210,536,219,185.13	91.42	277,144	89.32	489,864,643,912.35	83.18	
T+1 PM	20,341	96.45	51,521,558,517.12	93.93	37,677	93.74	81,331,844,739.48	87.83	
T+2 AM	9,551	97.43	37,051,613,972.29	95.74	17,514	95.80	73,132,418,812.97	92.01	
T+2 PM	5,616	98.00	16,361,223,475.88	96.54	8,624	96.81	25,274,536,610.64	93.46	
T+3	12,083	99.23	39,832,297,235.24	98.48	18,975	99.04	70,245,521,095.74	97.48	
>T+3	7,486	100.00	31,361,089,099.73	100.00	8,159	100.00	44,086,425,493.93	100.00	
<b>TOTAL</b>	<b>978,615</b>		<b>2,052,142,600,962.90</b>		<b>851,846</b>		<b>1,747,730,941,249.85</b>		

Notes:  
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.