

INSTITUTIONAL TRADE PROCESSING REPORT CARD

INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

April 2014 (USD Conversion Rate 1.103749957)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	641,923	82.34	150,956,591,855.62	75.45	383,658	55.70	83,286,525,548.11	48.48	59.77
T+1 AM	101,839	95.40	33,226,890,588.05	92.06	246,608	91.50	67,606,600,775.81	87.84	84.74
T+1 PM	12,002	96.94	4,462,647,393.59	94.29	23,308	94.88	6,574,121,265.28	91.67	86.48
T+2 AM	6,060	97.72	2,215,294,251.75	95.40	12,287	96.66	3,773,234,589.72	93.87	87.40
T+2 PM	4,979	98.36	1,581,746,240.71	96.19	7,196	97.70	2,535,508,909.97	95.35	87.77
T+3	7,521	99.32	5,864,602,345.51	99.12	10,353	99.20	6,256,615,746.04	98.99	88.26
>T+3	5,273	100.00	1,774,939,678.73	100.00	5,444	100.00	1,745,368,421.04	100.00	88.36
TOTAL	779,597		200,082,712,353.96		688,854		171,777,975,255.97		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	127,069	81.06	1,405,583,690,805.58	84.62	86,908	62.86	733,327,890,648.95	53.88	68.39
T+1 AM	18,659	92.96	152,446,495,776.93	93.80	34,423	87.76	402,708,215,356.63	83.47	83.26
T+1 PM	3,444	95.16	32,267,563,420.94	95.74	5,479	91.72	68,010,962,801.86	88.46	85.01
T+2 AM	2,284	96.62	17,915,995,525.14	96.82	3,942	94.57	60,215,637,908.82	92.88	86.33
T+2 PM	1,377	97.50	9,888,587,817.16	97.42	1,916	95.96	19,798,728,289.14	94.33	86.81
T+3	2,421	99.04	23,368,133,662.13	98.83	3,625	98.58	43,587,352,734.98	97.53	87.79
>T+3	1,502	100.00	19,612,282,453.44	100.00	1,967	100.00	33,474,590,215.73	100.00	88.20
TOTAL	156,756		1,661,082,749,461.32		138,260		1,361,123,377,956.11		

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April 2014 (USD Conversion Rate 1.103749957)

	OVERALL ENTERED				OVERALL CONFIRMED			
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY
T	768,992	82.13	1,556,540,282,661.20	83.63	470,566	56.89	816,614,416,197.06	53.27
T+1 AM	120,498	95.00	185,673,386,364.98	93.61	281,031	90.87	470,314,816,132.44	83.95
T+1 PM	15,446	96.65	36,730,210,814.53	95.58	28,787	94.35	74,585,084,067.14	88.82
T+2 AM	8,344	97.54	20,131,289,776.89	96.66	16,229	96.31	63,988,872,498.54	92.99
T+2 PM	6,356	98.22	11,470,334,057.87	97.28	9,112	97.41	22,334,237,199.11	94.45
T+3	9,942	99.28	29,232,736,007.64	98.85	13,978	99.10	49,843,968,481.02	97.70
>T+3	6,775	100.00	21,387,222,132.17	100.00	7,411	100.00	35,219,958,636.77	100.00
TOTAL	936,353		1,861,165,461,815.28		827,114		1,532,901,353,212.08	

Notes:
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.