

## INSTITUTIONAL TRADE PROCESSING REPORT CARD

### INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

September 2015 (USD Conversion Rate 1.33050077)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	660,064	82.42	177,977,268,213.62	75.94	394,962	55.09	102,035,027,411.23	50.33	59.84
T+1 AM	102,404	95.21	35,823,942,279.24	91.22	265,778	92.16	76,500,661,584.75	88.07	86.66
T+1 PM	13,889	96.94	6,265,534,745.29	93.89	18,717	94.77	9,002,247,489.83	92.51	87.52
T+2 AM	6,230	97.72	1,842,356,251.84	94.68	11,884	96.43	2,474,094,966.37	93.73	88.34
T+2 PM	2,901	98.08	1,429,447,461.80	95.29	6,612	97.35	2,457,398,505.94	94.94	88.86
T+3	8,475	99.14	7,518,544,295.26	98.50	12,070	99.03	7,653,151,145.14	98.72	89.43
>T+3	6,898	100.00	3,517,812,868.34	100.00	6,966	100.00	2,591,997,421.26	100.00	89.53
<b>TOTAL</b>	<b>800,861</b>		<b>234,374,906,115.39</b>		<b>716,989</b>		<b>202,714,578,524.52</b>		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	130,513	79.09	1,419,755,219,763.85	82.92	92,273	61.55	819,805,087,605.86	55.20	70.70
T+1 AM	21,712	92.25	159,414,961,299.36	92.23	38,687	87.36	448,299,387,561.25	85.39	86.03
T+1 PM	3,971	94.66	31,424,887,201.09	94.07	6,674	91.81	63,265,115,768.84	89.65	88.12
T+2 AM	1,794	95.75	22,561,247,077.61	95.39	2,877	93.73	38,752,267,407.14	92.26	88.94
T+2 PM	1,773	96.82	17,010,687,786.69	96.38	2,245	95.23	22,359,021,594.08	93.77	89.35
T+3	3,214	98.77	29,166,427,457.91	98.08	4,272	98.08	42,887,928,592.51	96.66	90.21
>T+3	2,049	100.00	32,945,167,794.04	100.00	2,886	100.00	49,731,585,277.50	100.00	90.84
<b>TOTAL</b>	<b>165,026</b>		<b>1,712,278,598,380.55</b>		<b>149,914</b>		<b>1,485,100,393,807.18</b>		

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September 2015 (USD Conversion Rate 1.33050077)

	OVERALL ENTERED				OVERALL CONFIRMED			
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY
T	790,577	81.85	1,597,732,487,977.47	82.08	487,235	56.20	921,840,115,017.09	54.62
T+1 AM	124,116	94.70	195,238,903,578.60	92.11	304,465	91.32	524,800,049,146.00	85.71
T+1 PM	17,860	96.55	37,690,421,946.38	94.05	25,391	94.25	72,267,363,258.67	89.99
T+2 AM	8,024	97.38	24,403,603,329.45	95.30	14,761	95.95	41,226,362,373.51	92.43
T+2 PM	4,674	97.86	18,440,135,248.49	96.25	8,857	96.97	24,816,420,100.02	93.90
T+3	11,689	99.07	36,684,971,753.17	98.13	16,342	98.86	50,541,079,737.65	96.89
>T+3	8,947	100.00	36,462,980,662.38	100.00	9,852	100.00	52,323,582,698.76	100.00
<b>TOTAL</b>	<b>965,887</b>		<b>1,946,653,504,495.94</b>		<b>866,903</b>		<b>1,687,814,972,331.70</b>	

Notes:  
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.