

INSTITUTIONAL TRADE PROCESSING REPORT CARD

INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

October 2014 (USD Conversion Rate 1.11750062)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	727,726	81.37	188,911,563,670.18	73.40	411,013	52.55	91,646,668,607.81	42.55	56.48
T+1 AM	122,010	95.01	46,791,216,376.90	91.58	299,253	90.81	94,403,917,385.89	86.38	83.59
T+1 PM	16,814	96.89	6,133,091,433.30	93.96	28,690	94.48	9,661,020,296.33	90.87	85.28
T+2 AM	6,600	97.63	2,840,734,652.60	95.06	12,836	96.12	4,508,865,365.01	92.96	86.10
T+2 PM	5,447	98.24	1,376,678,774.69	95.59	8,687	97.23	3,350,634,911.22	94.52	86.56
T+3	9,552	99.31	6,571,112,362.72	98.14	15,295	99.19	7,709,226,001.82	98.10	87.35
>T+3	6,159	100.00	4,742,468,934.80	100.00	6,358	100.00	4,086,009,458.66	100.00	87.46
TOTAL	894,308		257,366,866,205.19		782,132		215,366,342,026.74		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	140,791	80.80	1,488,185,083,381.90	82.30	99,987	63.37	886,326,805,180.61	55.99	71.02
T+1 AM	22,335	93.62	188,326,021,333.12	92.71	39,567	88.45	437,567,952,432.17	83.63	85.55
T+1 PM	3,533	95.65	32,625,395,223.75	94.51	6,515	92.58	73,980,831,867.86	88.30	87.65
T+2 AM	2,111	96.86	39,664,639,776.90	96.70	3,498	94.80	71,312,742,759.20	92.80	88.62
T+2 PM	1,371	97.65	14,669,781,444.78	97.51	2,174	96.18	32,032,456,073.93	94.82	89.19
T+3	2,832	99.28	27,272,643,277.74	99.02	4,244	98.87	51,449,676,290.34	98.07	90.18
>T+3	1,268	100.00	17,546,511,348.53	100.00	1,796	100.00	30,452,796,132.14	100.00	90.55
TOTAL	174,241		1,808,290,075,786.72		157,781		1,583,123,260,736.25		

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October 2014 (USD Conversion Rate 1.11750062)

	OVERALL ENTERED				OVERALL CONFIRMED				
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	
T	868,517	81.28	1,677,096,647,052.08	81.19	511,000	54.37	977,973,473,788.42	54.38	
T+1 AM	144,345	94.79	235,117,237,710.02	92.57	338,820	90.42	531,971,869,818.06	83.96	
T+1 PM	20,347	96.69	38,758,486,657.05	94.45	35,205	94.17	83,641,852,164.19	88.61	
T+2 AM	8,711	97.51	42,505,374,429.50	96.51	16,334	95.91	75,821,608,124.21	92.83	
T+2 PM	6,818	98.15	16,046,460,219.47	97.29	10,861	97.07	35,383,090,985.15	94.80	
T+3	12,384	99.31	33,843,755,640.46	98.93	19,539	99.15	59,158,902,292.16	98.09	
>T+3	7,427	100.00	22,288,980,283.33	100.00	8,154	100.00	34,538,805,590.80	100.00	
TOTAL	1,068,549		2,065,656,941,991.91		939,913		1,798,489,602,762.99		

Notes:
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.