

## INSTITUTIONAL TRADE PROCESSING REPORT CARD

### INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

November 2015 (USD Conversion Rate 1.308349954)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	638,595	82.27	158,895,960,583.22	75.94	364,193	53.58	83,732,183,336.50	47.51	57.03
T+1 AM	100,441	95.21	33,302,689,707.76	91.86	257,599	91.48	70,947,491,253.65	87.76	84.14
T+1 PM	13,882	97.00	4,545,374,728.15	94.03	20,144	94.44	6,700,115,523.63	91.56	85.26
T+2 AM	5,415	97.70	2,374,686,978.96	95.16	14,195	96.53	3,839,894,147.42	93.74	86.52
T+2 PM	4,626	98.30	1,641,296,695.03	95.94	6,391	97.47	2,186,393,034.45	94.98	86.84
T+3	8,221	99.36	6,206,714,390.66	98.91	11,861	99.22	6,939,074,357.66	98.92	87.45
>T+3	5,052	100.00	2,261,814,977.54	100.00	5,327	100.00	1,901,614,296.17	100.00	87.57
<b>TOTAL</b>	<b>776,232</b>		<b>209,228,538,061.32</b>		<b>679,710</b>		<b>176,246,765,949.48</b>		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	104,839	77.48	1,143,958,318,228.29	84.06	72,460	58.53	621,527,907,507.15	52.48	69.12
T+1 AM	19,505	91.90	133,428,488,404.13	93.86	35,309	87.05	400,992,520,870.71	86.34	86.67
T+1 PM	2,478	93.73	17,657,121,165.74	95.16	4,527	90.71	39,375,367,451.42	89.66	88.55
T+2 AM	3,613	96.40	22,607,857,104.95	96.82	4,365	94.24	42,951,156,052.83	93.29	89.44
T+2 PM	1,831	97.75	9,143,191,558.66	97.49	2,303	96.10	16,035,185,628.73	94.64	89.94
T+3	1,995	99.22	17,671,829,694.94	98.79	3,110	98.61	27,461,454,826.04	96.96	90.92
>T+3	1,048	100.00	16,377,357,903.17	100.00	1,734	100.00	35,958,682,699.27	100.00	91.50
<b>TOTAL</b>	<b>135,309</b>		<b>1,360,844,164,059.88</b>		<b>123,808</b>		<b>1,184,302,275,036.15</b>		

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November 2015 (USD Conversion Rate 1.308349954)

	OVERALL ENTERED				OVERALL CONFIRMED			
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY
T	743,434	81.56	1,302,854,278,811.51	82.98	436,653	54.34	705,260,090,843.65	51.84
T+1 AM	119,946	94.72	166,731,178,111.89	93.60	292,908	90.79	471,940,012,124.36	86.53
T+1 PM	16,360	96.51	22,202,495,893.89	95.01	24,671	93.86	46,075,482,975.05	89.92
T+2 AM	9,028	97.50	24,982,544,083.91	96.60	18,560	96.17	46,791,050,200.25	93.36
T+2 PM	6,457	98.21	10,784,488,253.69	97.29	8,694	97.25	18,221,578,663.18	94.70
T+3	10,216	99.33	23,878,544,085.60	98.81	14,971	99.11	34,400,529,183.70	97.23
>T+3	6,100	100.00	18,639,172,880.71	100.00	7,061	100.00	37,860,296,995.44	100.00
<b>TOTAL</b>	<b>911,541</b>		<b>1,570,072,702,121.20</b>		<b>803,518</b>		<b>1,360,549,040,985.63</b>	

Notes:  
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.