

INSTITUTIONAL TRADE PROCESSING REPORT CARD
INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS
 November 2014 (USD Conversion Rate 1.127449991)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	605,093	79.91	155,135,669,424.74	71.69	352,230	53.30	76,653,189,852.64	43.07	58.21
T+1 AM	112,377	94.75	40,117,678,257.24	90.23	249,574	91.07	73,969,755,617.01	84.63	83.88
T+1 PM	16,081	96.87	6,114,329,648.59	93.06	21,461	94.32	9,306,396,609.51	89.86	84.97
T+2 AM	5,557	97.60	3,414,272,217.03	94.64	12,418	96.20	4,681,001,022.95	92.49	86.01
T+2 PM	4,117	98.14	1,808,984,354.29	95.48	7,403	97.32	3,545,214,959.07	94.48	86.53
T+3	9,776	99.43	7,509,938,373.62	98.95	13,137	99.31	7,884,785,617.05	98.91	87.15
>T+3	4,228	100.00	2,303,339,879.95	100.00	4,576	100.00	1,951,588,356.51	100.00	87.27
TOTAL	757,229		216,404,212,155.46		660,799		177,991,932,034.74		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	111,294	78.88	1,130,038,786,204.69	81.90	76,034	60.28	624,379,063,361.63	54.76	68.32
T+1 AM	18,076	91.69	126,415,123,676.63	91.06	32,707	86.21	323,547,847,765.22	83.14	84.05
T+1 PM	4,025	94.54	46,651,355,504.95	94.44	5,404	90.49	49,306,970,598.54	87.46	85.57
T+2 AM	2,423	96.26	28,071,628,391.14	96.47	4,243	93.85	61,352,648,691.63	92.84	87.17
T+2 PM	1,334	97.21	12,308,489,012.49	97.36	1,885	95.34	18,898,954,108.04	94.50	87.69
T+3	2,605	99.06	19,040,661,172.25	98.74	4,044	98.55	36,877,001,343.23	97.73	88.95
>T+3	1,331	100.00	17,189,691,980.68	100.00	1,812	100.00	25,862,318,639.68	100.00	89.40
TOTAL	141,088		1,379,715,735,942.83		126,129		1,140,224,804,507.97		

INSTITUTIONAL TRADE PROCESSING REPORT CARD

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November 2014 (USD Conversion Rate 1.127449991)

	OVERALL ENTERED				OVERALL CONFIRMED			
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY
T	716,387	79.75	1,285,174,455,629.43	80.52	428,264	54.42	701,032,253,214.27	53.18
T+1 AM	130,453	94.27	166,532,801,933.87	90.95	282,281	90.29	397,517,603,382.23	83.34
T+1 PM	20,106	96.51	52,765,685,153.54	94.26	26,865	93.70	58,613,367,208.05	87.79
T+2 AM	7,980	97.40	31,485,900,608.17	96.23	16,661	95.82	66,033,649,714.58	92.80
T+2 PM	5,451	98.01	14,117,473,366.78	97.11	9,288	97.00	22,444,169,067.11	94.50
T+3	12,381	99.39	26,550,599,545.87	98.77	17,181	99.18	44,761,786,960.28	97.90
>T+3	5,559	100.00	19,493,031,860.63	100.00	6,388	100.00	27,813,906,996.19	100.00
TOTAL	898,317		1,596,119,948,098.29		786,928		1,318,216,736,542.71	

Notes:

Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.