

INSTITUTIONAL TRADE PROCESSING REPORT CARD

INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

October 2015 (USD Conversion Rate 1.340700033)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	666,382	82.17	174,652,515,560.01	75.71	399,495	55.41	93,163,360,267.37	47.81	59.95
T+1 AM	109,149	95.63	36,610,675,333.28	91.58	266,913	92.43	79,705,854,412.42	88.71	85.93
T+1 PM	11,952	97.10	5,376,980,125.76	93.91	19,067	95.07	7,262,837,974.83	92.44	87.05
T+2 AM	5,979	97.84	2,143,221,933.94	94.84	11,034	96.60	3,476,597,362.08	94.22	87.78
T+2 PM	3,549	98.28	1,750,380,506.83	95.60	6,054	97.44	2,128,744,096.56	95.31	88.15
T+3	8,228	99.29	6,930,265,856.93	98.60	12,376	99.16	7,324,878,534.98	99.07	88.79
>T+3	5,746	100.00	3,207,482,305.72	100.00	6,042	100.00	1,813,199,313.74	100.00	88.90
TOTAL	810,985		230,671,521,622.47		720,981		194,875,471,961.98		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	123,208	78.58	1,385,969,507,516.67	84.53	86,588	61.18	773,495,938,395.54	52.72	70.28
T+1 AM	20,932	91.93	157,729,270,417.92	94.15	39,010	88.74	504,656,561,572.99	87.11	87.14
T+1 PM	4,982	95.11	24,554,301,162.14	95.65	5,513	92.64	52,556,806,657.24	90.69	87.92
T+2 AM	1,992	96.38	15,273,158,733.41	96.58	2,738	94.57	40,839,089,503.87	93.47	88.57
T+2 PM	1,999	97.65	10,367,589,557.07	97.21	2,428	96.29	18,750,572,574.70	94.75	89.00
T+3	2,455	99.22	22,840,630,189.37	98.60	3,407	98.70	35,784,341,190.58	97.19	89.79
>T+3	1,220	100.00	22,872,376,661.34	100.00	1,843	100.00	41,225,213,367.85	100.00	90.27
TOTAL	156,788		1,639,606,834,237.92		141,527		1,467,308,523,262.77		

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October 2015 (USD Conversion Rate 1.340700033)

	OVERALL ENTERED				OVERALL CONFIRMED			
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY
T	789,590	81.59	1,560,622,023,076.68	83.44	486,083	56.36	866,659,298,662.91	52.14
T+1 AM	130,081	95.03	194,339,945,751.20	93.83	305,923	91.83	584,362,415,985.41	87.30
T+1 PM	16,934	96.78	29,931,281,287.90	95.43	24,580	94.68	59,819,644,632.07	90.90
T+2 AM	7,971	97.60	17,416,380,667.35	96.36	13,772	96.28	44,315,686,865.95	93.57
T+2 PM	5,548	98.17	12,117,970,063.90	97.01	8,482	97.26	20,879,316,671.26	94.83
T+3	10,683	99.27	29,770,896,046.30	98.60	15,783	99.09	43,109,219,725.56	97.42
>T+3	6,966	100.00	26,079,858,967.06	100.00	7,885	100.00	43,038,412,681.59	100.00
TOTAL	967,773		1,870,278,355,860.39		862,508		1,662,183,995,224.75	

Notes:
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.