

**INSTITUTIONAL TRADE PROCESSING REPORT CARD**  
**INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS**  
 November 2009 (USD Conversion Rate 1.077200016)

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	# OF TRADES (EQUITY)	% INDUSTRY	TOTAL VALUE (EQUITY)	% INDUSTRY	
T	409,557	72.77	87,698,826,803.15	61.99	226,288	44.85	45,234,380,878.18	38.19	55.25
T+1 AM	97,911	90.17	32,844,312,676.59	85.21	200,361	84.56	46,795,829,359.44	77.70	84.07
T+1 PM	21,261	93.95	7,953,614,477.11	90.83	30,011	90.51	10,309,981,119.95	86.41	86.37
T+2 AM	10,843	95.88	3,568,488,136.14	93.35	18,010	94.08	4,887,324,842.60	90.54	87.97
T+2 PM	6,683	97.07	2,363,600,630.32	95.02	8,657	95.80	2,488,797,923.10	92.64	88.48
T+3	10,520	98.94	5,004,887,805.02	98.56	14,620	98.70	6,760,610,312.69	98.35	89.43
>T+3	6,006	100.00	2,043,313,860.08	100.00	6,636	100.00	1,957,342,862.25	100.00	89.66
<b>TOTAL</b>	<b>562,781</b>		<b>141,477,044,388.41</b>		<b>504,583</b>		<b>118,434,267,298.21</b>		

	ENTERED INTO CDSX BY BROKERS				CONFIRMED IN CDSX BY CUSTODIANS				EFFICIENCY %
	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	# OF TRADES (DEBT)	% INDUSTRY	TOTAL VALUE (DEBT)	% INDUSTRY	
T	83,248	76.90	707,332,926,821.87	84.75	53,618	57.06	431,381,920,646.31	62.49	64.41
T+1 AM	14,630	90.42	67,605,526,309.63	92.85	25,372	84.06	149,076,840,729.49	84.09	80.70
T+1 PM	3,317	93.48	15,050,145,796.49	94.65	5,264	89.66	36,752,350,143.52	89.41	83.26
T+2 AM	1,821	95.16	10,006,362,922.24	95.85	2,582	92.41	20,213,804,569.43	92.34	84.29
T+2 PM	1,113	96.19	6,967,601,857.90	96.68	1,740	94.26	16,241,671,392.10	94.69	85.06
T+3	2,703	98.69	14,081,384,543.22	98.37	4,101	98.62	26,569,592,466.97	98.54	86.75
>T+3	1,416	100.00	13,544,184,522.30	100.00	1,283	100.00	10,057,691,683.15	100.00	86.80
<b>TOTAL</b>	<b>108,248</b>		<b>834,588,132,773.65</b>		<b>93,960</b>		<b>690,293,871,630.97</b>		

## INSTITUTIONAL TRADE PROCESSING REPORT CARD

### INDUSTRY REPORT CARD - ENTERED AND CONFIRMED STATISTICS

November 2009 (USD Conversion Rate 1.077200016)

	OVERALL ENTERED				OVERALL CONFIRMED				
	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	# OF TRADES (DEBT+EQUITY)	% INDUSTRY	TOTAL VALUE (DEBT+EQUITY)	% INDUSTRY	
T	492,805	73.44	795,031,753,625.02	81.45	279,906	46.76	476,616,301,524.49	58.93	
T+1 AM	112,541	90.21	100,449,838,986.22	91.74	225,733	84.47	195,872,670,088.93	83.15	
T+1 PM	24,578	93.87	23,003,760,273.60	94.10	35,275	90.36	47,062,331,263.47	88.97	
T+2 AM	12,664	95.76	13,574,851,058.38	95.49	20,592	93.80	25,101,129,412.03	92.07	
T+2 PM	7,796	96.92	9,331,202,488.22	96.45	10,397	95.54	18,730,469,315.20	94.39	
T+3	13,223	98.89	19,086,272,348.24	98.41	18,721	98.67	33,330,202,779.66	98.51	
>T+3	7,422	100.00	15,587,498,382.38	100.00	7,919	100.00	12,015,034,545.40	100.00	
<b>TOTAL</b>	<b>671,029</b>		<b>976,065,177,162.06</b>		<b>598,543</b>		<b>808,728,138,929.18</b>		

Notes:  
Definitions

Entered : Trade details received by CDS awaiting confirmation (broker/dealer and/or custodian)

Confirmed : When brokers/dealers and custodians agree to trade details, status of entered trade changed to reflect "confirmed status awaiting settlement at CDS"

1. CDS is the sole supplier of this statistical data.
2. These statistics do not include any free movement items or trades reported by an MSU.
3. Statistics reflect client trades only.
4. Report does not include same day trades or Entitlement generated transactions.
5. Efficiency % is number of trades confirmed divided by number of trades entered.
6. Trade date cut-off is midnight EST.
7. 'AM' designation includes entries/confirmations made from 12:00 AM to 11:59 AM EST. 'PM' designation includes entries/confirmations made from 12:00 PM to 11:59 PM EST.
8. USD transactions have been converted to CAD dollars for reporting purposes.