



Exception Attributes Categorized for Reporting Causes When Filing NI 24-101F1

Published Description	Criteria for Utilization
By Investment Managers	
Internal Delay	<ul style="list-style-type: none"> • System Problem causes information subsequent to the trade order to be delayed, such as the allocations. • Internal Process Problem causes information subsequent to the trade order to be delayed.
Delay By Broker/ Dealer	<ul style="list-style-type: none"> • Notice of Execution (NOE) not received in sufficient time by the investment manager to enable return of the allocations to broker/dealer and/or custodian. • Broker/dealer did not complete the posting of the trade at CDS within the scheduled threshold.
Delay By Custodian	<ul style="list-style-type: none"> • Trade not 'Affirmed' within the scheduled threshold.
Inaccurate / Missing Information	<ul style="list-style-type: none"> • The Broker/Dealer or the Custodian did not provide the correct and/or sufficient information to the Investment Manager to complete their work within the scheduled threshold.
By Broker/Dealers	
Internal Delay	<ul style="list-style-type: none"> • System Problem causes information subsequent to the Notice of Execution (NOE) to be delayed. • Internal Process Problem causes information subsequent to the NOE to be delayed.
Delay By Investment Manager	<ul style="list-style-type: none"> • Allocations and/or instructions not received in sufficient time to complete the entry at the Canadian Depository for Securities (CDS) within the scheduled threshold.
Delay By Custodian	<ul style="list-style-type: none"> • Trade not 'Affirmed' within the scheduled threshold.
Inaccurate / Missing Information	<ul style="list-style-type: none"> • The Investment Manager (or if clearly identifiable, the Custodian) did not provide the correct and/or sufficient information to the broker/dealer to complete their work within the scheduled threshold.
By Custodians	
Internal Delay	<ul style="list-style-type: none"> • System Problem causes information subsequent to the allocations to be delayed. • Internal Process Problem causes information subsequent to the allocations to be delayed.
Delay By Investment Manager	<ul style="list-style-type: none"> • Allocations and/or instructions not received in sufficient time to complete the affirmation at the Canadian Depository for Securities (CDS) within the scheduled threshold.
Delay By Broker / Dealer	<ul style="list-style-type: none"> • Broker/dealer did not complete the posting of the trade at CDS within the scheduled threshold.
Inaccurate / Missing Information	<ul style="list-style-type: none"> • The Investment Manager (or if clearly identifiable, the Broker/Dealer) did not provide the correct and/or sufficient information to the custodian to complete their work within the scheduled threshold.